

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re: : Chapter 11  
: Case No. 08-13555 (SCC)  
LEHMAN BROTHERS HOLDINGS, INC., *et al.* :  
: (Jointly Administered)  
Debtors. : Ref. Docket No. 55607  
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**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 28, 2017, I caused to be served the "Notice of Defective Transfers," dated June 28, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Konstantina Haidopoulos  
Konstantina Haidopoulos

Sworn to before me this  
29<sup>th</sup> day of June, 2017  
/s/ Sidney J. Garabato

Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:  
LEHMAN BROTHERS HOLDINGS, INC., et al.

Chapter 11  
Case No. 08-13555 (SCC)  
(Jointly Administered)

Debtors.

**NOTICE OF DEFECTIVE TRANSFER**

Transferor: EFG BANK AG  
ATTN: ANDREA FERRARI  
VIA STEFANO FRANCINI 8  
LUGANO 6900  
SWITZERLAND

Transferee: BANK JULIUS BAER & CO. LTD.  
ATTN: PATRIK ROOS  
BAHNOFSTRASSE 36  
ZURICH, CH-8010  
SWITZERLAND

**Your transfer as presented is defective for the reason(s) checked below:**

- case closed on:
- address missing: (transferor) (transferee)
- signature of transferor missing
- claim number in error
- claim number not stated
- amount of transfer missing in Transfer Agreement
- not FRBP 3001(e)(2) OR (4); claim **NOT** on file
- not FRBP 3001(e)(1) OR (3); claim **ON** file
- notice amount does not match transfer agreement
- cannot docket transfer-claim #
  - [ ] expunged by court order
  - [ ] previously transferred
    - By document #

**(X) Other**

**[Specify]: Transferor does not hold the specified claim piece**

**NOTE:**

**Docket Number: 55607**

/s/ Lauren Rodriguez  
Epiq Bankruptcy Solutions LLC  
as Claims Agent for the Debtor(s).

**FOR EBS USE ONLY:** This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 28, 2017

## **EXHIBIT B**

LBH\_DEFTRANSNTC\_DN55607\_6-28-17

LBH\_DEFTRANSNTC\_DN55607\_6-28-17

EFG BANK AG  
ATTN: ANDREA FERRARI  
VIA STEFANO FRANCINI 8  
LUGANO 6900  
SWITZERLAND

BANK JULIUS BAER & CO. LTD.  
ATTN: PATRIK ROOS  
BAHNOFSTRASSE 36  
ZURICH, CH-8010  
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